

# CSCI 5541: Natural Language Processing

## Lecture 11: Pretraining Paradigm and Scaling Law

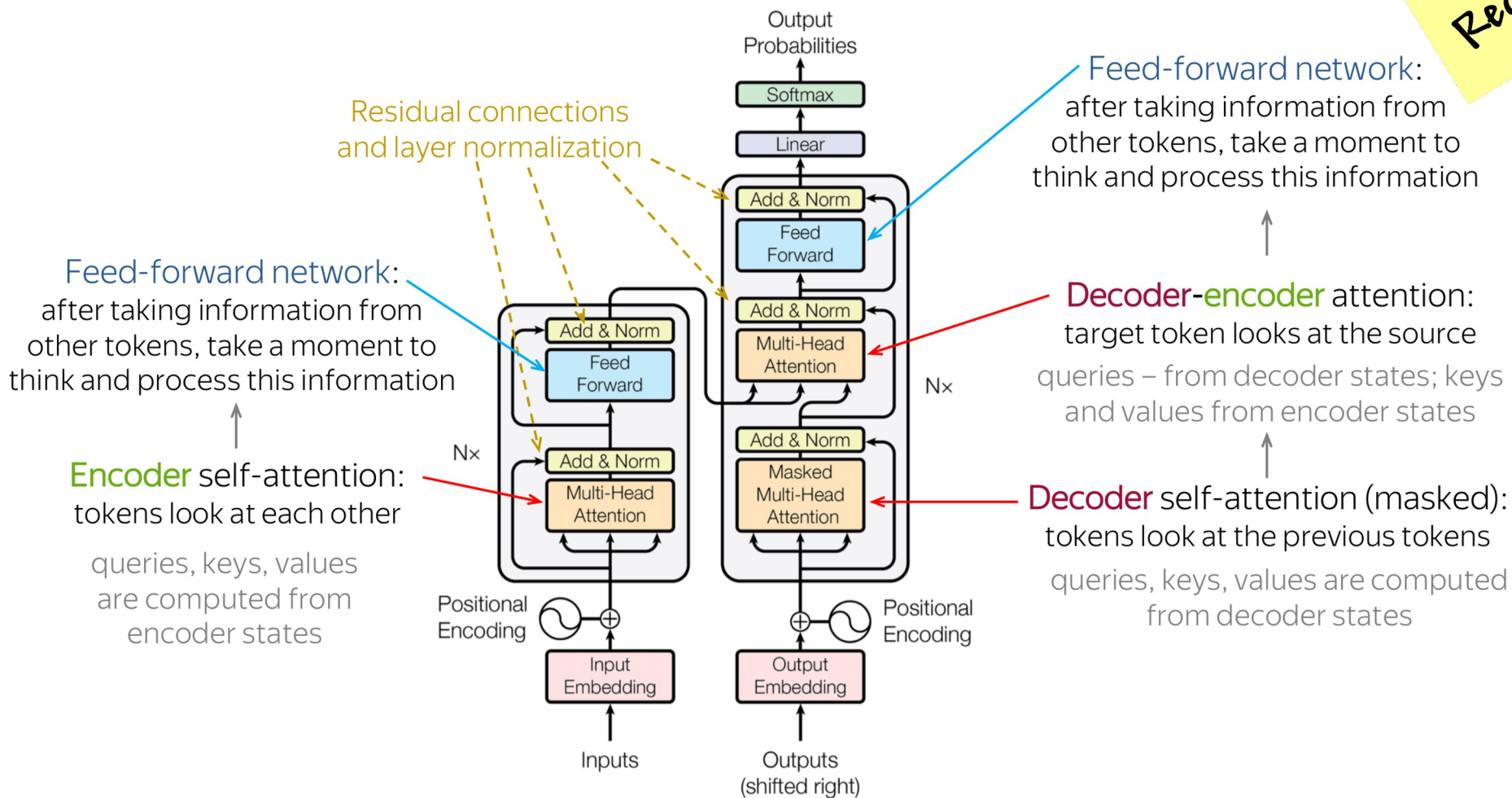
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Some slides borrowed from Anna Goldie (Google Brain)







Model	Layers	Width	Heads	Params	Data	Training
Transformer-Base	12	512	8	65M		8x P100 (12 hrs)
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Brown et al, "Language Models are Few-Shot Learners", arXiv 2020

<http://hal.cse.msu.edu/teaching/2020-fall-deep-learning/14-nlp-and-transformers/#/22/0/9>



# Agenda

- ❑ What can we learn from reconstructing the input in the pretrained models?
- ❑ Subword modeling in pretraining
- ❑ Pretraining for three types of architectures
  - Encoder-only
  - Decoder-only
  - Encoder-Decoder
- ❑ GPT3, in-context learning, and VERY large language models
- ❑ Law of scale



# What can we learn from reconstructing the input?

BERT-Base	12	768	12	110M	13GB
BERT-Large	24	1024	16	340M	13GB

University of Minnesota is located in \_\_\_\_\_, Minnesota.

minneapolis	0.950
bloomington	0.024
duluth	0.017
austin	0.003
rochester	0.002

<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

University of Minnesota is located in \_\_\_\_\_, California.

minneapolis	0.584
sacramento	0.116
bloomington	0.103
berkeley	0.034
davis	0.027

<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

I put \_\_\_ fork down on the table.

my	0.982
the	0.017
her	0.000
his	0.000
a	0.000

<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

The woman walked across the street, checking for traffic  
over \_\_\_ shoulder

her	0.992
one	0.003
his	0.002
the	0.001
my	0.001

<https://huggingface.co/bert-large-uncased>





# What can we learn from reconstructing the input?

I went to the ocean to see the fish, turtles, seals, and \_\_\_\_\_.

dolphins	0.375
whales	0.324
birds	0.042
sharks	0.038
penguins	0.038

<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

Overall, the value I got from the two hours watching it was the sum total of the popcorn and the drink. The movie was \_ \_ \_.

good	0.227
great	0.085
boring	0.059
over	0.056
perfect	0.037

<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

Iroh went into the kitchen to make some tea. Standing next to Iroh, Zuko pondered his destiny. Zuko left the \_ \_ \_ \_ \_

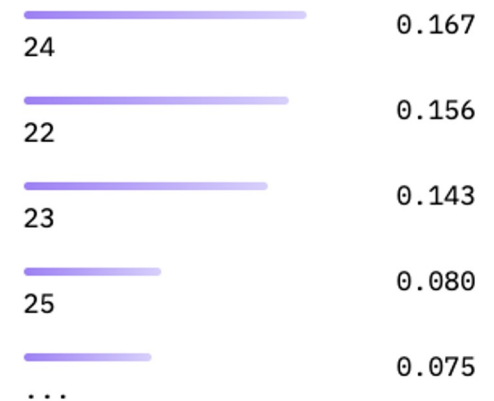
room	0.626
house	0.121
kitchen	0.090
apartment	0.017
table	0.016

<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

I was thinking about the sequence that goes 1, 1, 2, 3, 5, 8, 13, 21, \_ \_ \_ \_

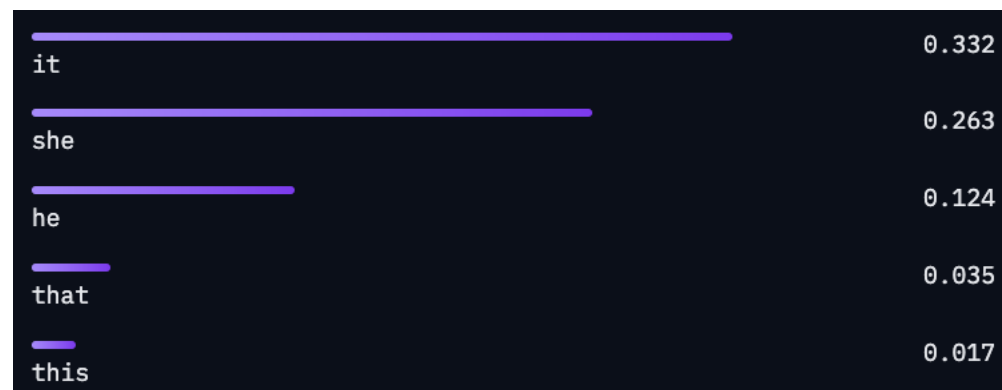


<https://huggingface.co/bert-large-uncased>



# What can we learn from reconstructing the input?

What I laern from today's NLP class is how taaasty \_\_\_\_\_ is








it	0.332
she	0.263
he	0.124
that	0.035
this	0.017

<https://huggingface.co/bert-large-uncased>



# Brief notes on subword modeling

- ❑ We assume a fixed vocab of tens of thousands of words, built from train set.
- ❑ All novel words seen at test time are mapped to a single **UNK token**.
- ❑ Finite vocabulary assumptions make even less sense in many languages.
  - Many languages exhibit **complex morphology, or word structure**.
  - *Swahili* verbs can have hundreds of conjugations, each encoding a wide variety of information. (Tense, mood, definiteness, negation, information about the object, ++)

	word		vocab mapping	embedding
Common words	hat	→	hat	
	learn	→	learn	
Variations	taaaaasty	→	UNK	
misspellings	laern	→	UNK	
novel items	Transformerify	→	UNK	



# The byte-pair encoding algorithm

- Subword modeling in NLP encompasses a wide range of methods for reasoning about structure below the word level. (Parts of words, characters, bytes.)
  - The dominant modern paradigm is to learn a vocabulary of parts of words (subword tokens).
- **Byte-pair encoding (BPE)** is a simple, effective strategy for subword modeling
  - 1. Start with a vocabulary containing only characters and an “end-of-word” symbol.
  - 2. Using a corpus of text, find the most common pair of adjacent characters “a,b”; add subword “ab” to the vocab.
  - 3. Replace instances of the character pair with the new subword; repeat until desired vocab size.
- Originally used in NLP for machine translation; now a similar method (WordPiece) is used in pretrained models.

Byte Pair Encoding Data Compression Example

aaabdaaac

aaabdaaac

Replace Z = aa

ZabdZabac

Replace Y = ab

ZYdZYac

Replace X = ZY

[https://en.wikipedia.org/wiki/Byte\\_pair\\_encoding](https://en.wikipedia.org/wiki/Byte_pair_encoding)

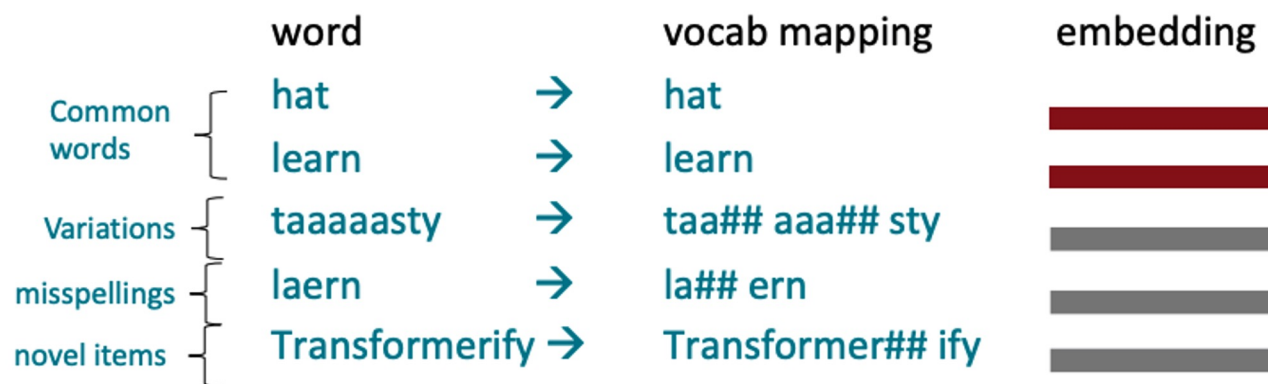
Neural Machine Translation of Rare Words with Subword Units, ACL 2016

Google's Neural Machine Translation System: Bridging the Gap between Human and Machine Translation, 2016



# Word structure and subword models

- Common words end up being a part of the subword vocabulary, while rarer words are split into (sometimes **intuitive**, sometimes **not**) components.
  - In the worst case, words are split into as many subwords as they have characters.





# Pretrained word (type) embeddings

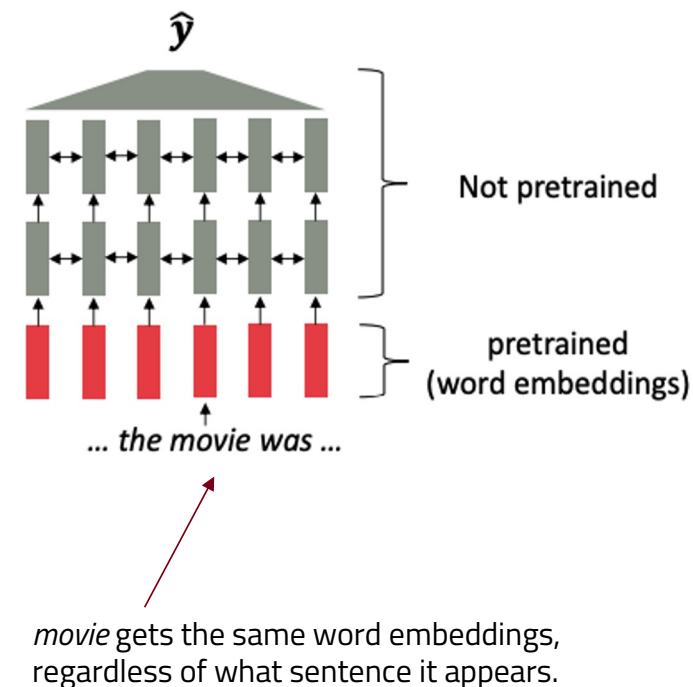


## □ Before 2017:

- Start with pretrained **word embeddings (no context!)**
- Learn how to incorporate context in an LSTM or RNN while training on the task.

## □ Some issues to think about:

- The training data we have for our downstream task (like question answering) must be sufficient to teach all contextual aspects of language.
- Most of the parameters in our network are **randomly** initialized!



# Pretrained **whole (token)** embeddings

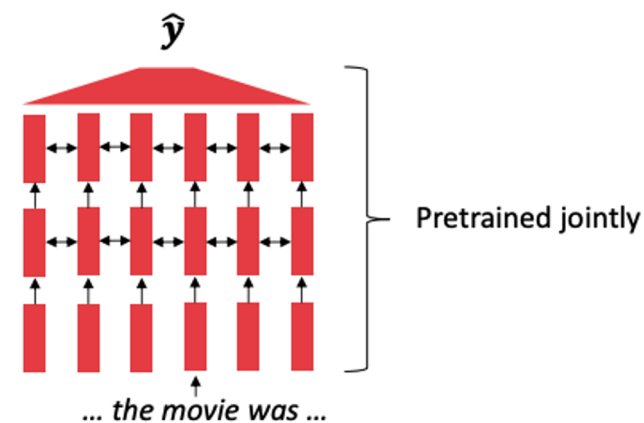


## □ In modern NLP:

- All (or almost all) parameters in NLP networks are initialized via pretraining.
- Pretraining methods **hide parts of the input** from the model, then train the model to **reconstruct** those parts

## □ This has been exceptionally effective at building strong:

- representations of language
- parameter initializations for strong NLP models.
- probability distributions over language that we can sample from



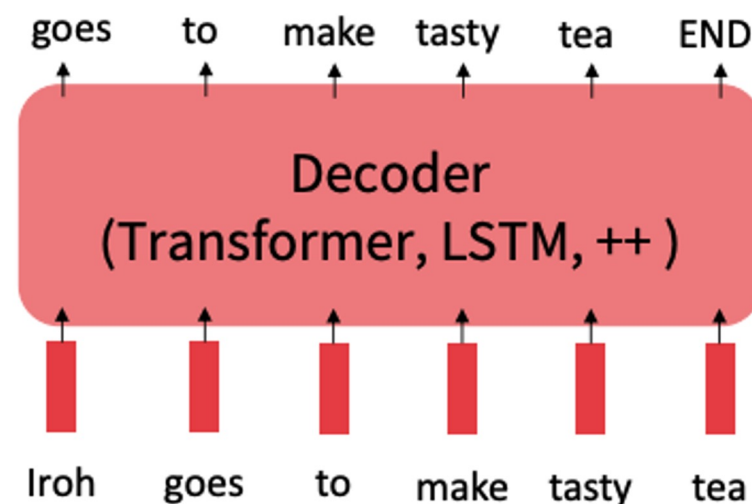
This model has learned how to represent entire sentences through pretraining



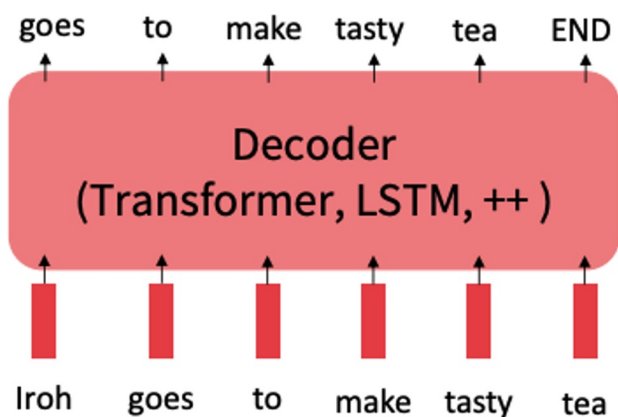
# Pretraining through language modeling



- Recall the language modeling task:
  - Model the probability distribution over words given their past contexts.
- Pretraining through language modeling:
  - Train a neural network to perform language modeling on a large amount of text.
  - Save the network parameters.

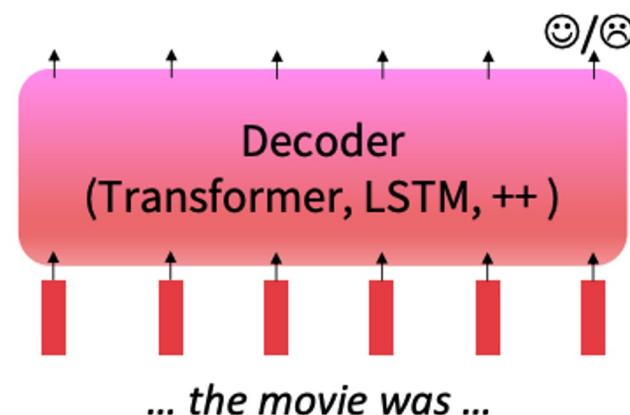


# The Pretraining / Finetuning Paradigm



## Step 1: Pretrain (on language modeling)

Lots of text; learn general things!  
Serve as parameter initialization.

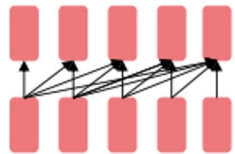


## Step 2: Finetune (on your task)

Not many labels; adapt to the task!

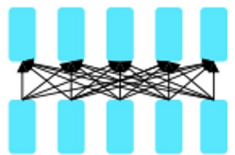


# Pretraining for three types of architectures



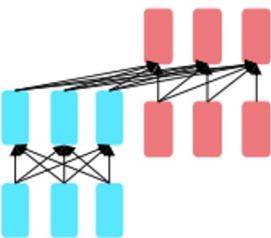
## Decoders

- ❑ Simple left-to-right language models!
- ❑ Nice to generate from; can't condition on future words
- ❑ Examples: GPT-2, GPT-3, LaMDA



## Encoders

- ❑ Gets bidirectional context – can condition on future!
- ❑ Masked language models
- ❑ Examples: BERT, RoBERTa



## Encoder-Decoders

- ❑ Good parts of decoders and encoders?
- ❑ What's the best way to pretrain them?
- ❑ Examples: T5, BART



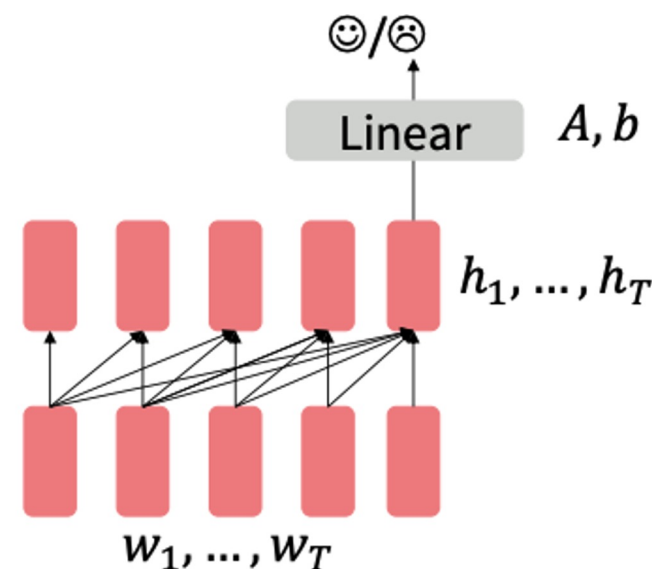
# Pretraining and finetuning decoders

- When using language model pretrained decoders, we can ignore that they were trained to model
- We can finetune them by training a **classifier** on the last word's hidden state.

$$h_1, \dots, h_T = \text{Decoder}(w_1, \dots, w_T)$$
$$y \sim Ah_T + b$$

where  $A$  and  $b$  are randomly initialized and specified by the downstream task.

- Gradients backpropagate through the whole network.



[Note how the linear layer hasn't been pretrained and must be learned from scratch.]

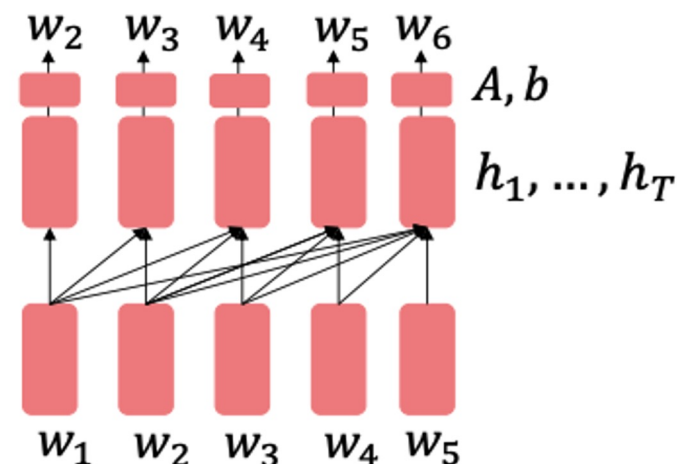
# Pretraining and finetuning decoders

- It's natural to pretrain decoders as **language models** and then use them as **generators**, finetuning the decoder:  $P_{\theta}(w_t | w_{1:t-1})$

$$h_1, \dots, h_T = \text{Decoder}(w_1, \dots, w_T)$$
$$w_t \sim Ah_{t-1} + b$$

where  $A, b$  were pretrained in the language model!

- This is helpful in tasks where the output is a sequence with a vocabulary like that at pretraining time!
  - Dialogue (context = dialogue history)
  - Summarization (context=document)



[Note how the linear layer has been pretrained.]

# Generative Pretrained Transformer (GPT) (Radford et al., 2018)

□ 2018's GPT was a big success in pretraining a decoder!

- Transformer decoder with 12 layers
- 768-dimensional hidden states
- 3072-dimensional feed-forward hidden layers
- Byte-pair encoding with 40,000 merges
- Trained on BookCorpus: over 7000 unique books.

Contains long spans of contiguous text, for learning long-distance dependencies.

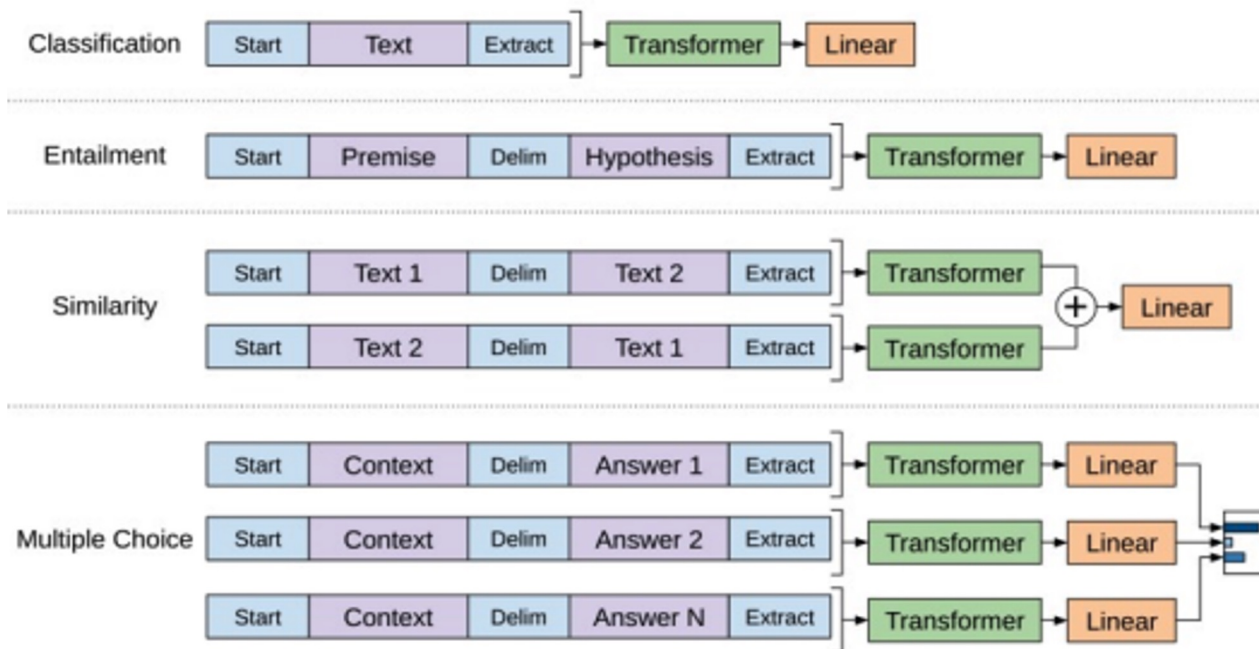
Transformer





# Generative Pretrained Transformer (GPT) (Radford et al., 2018)

□ How do we format inputs to our decoder for finetuning tasks?



The linear classifier is applied to the representation of the [EXTRACT] token.

# Generative Pretrained Transformer (GPT) (Radford et al., 2018)

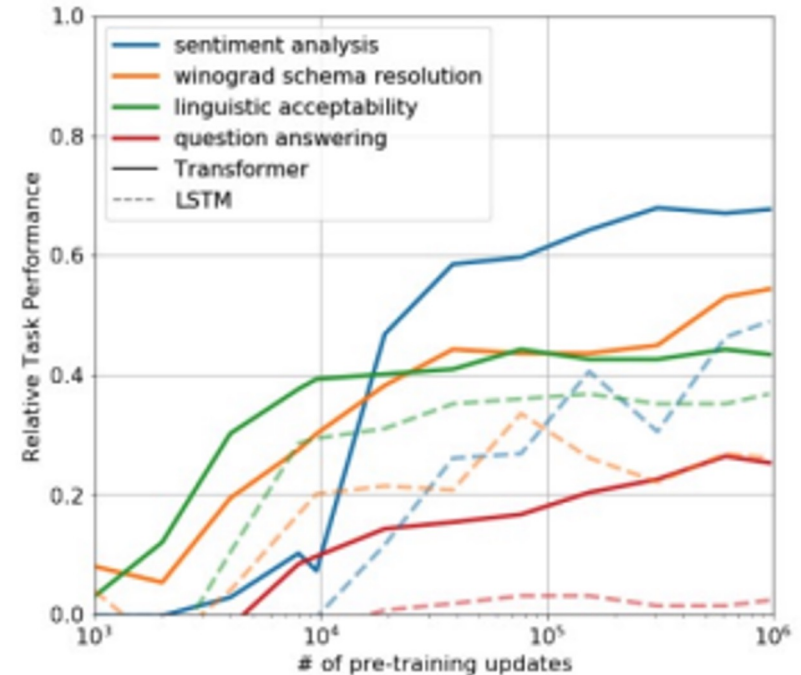
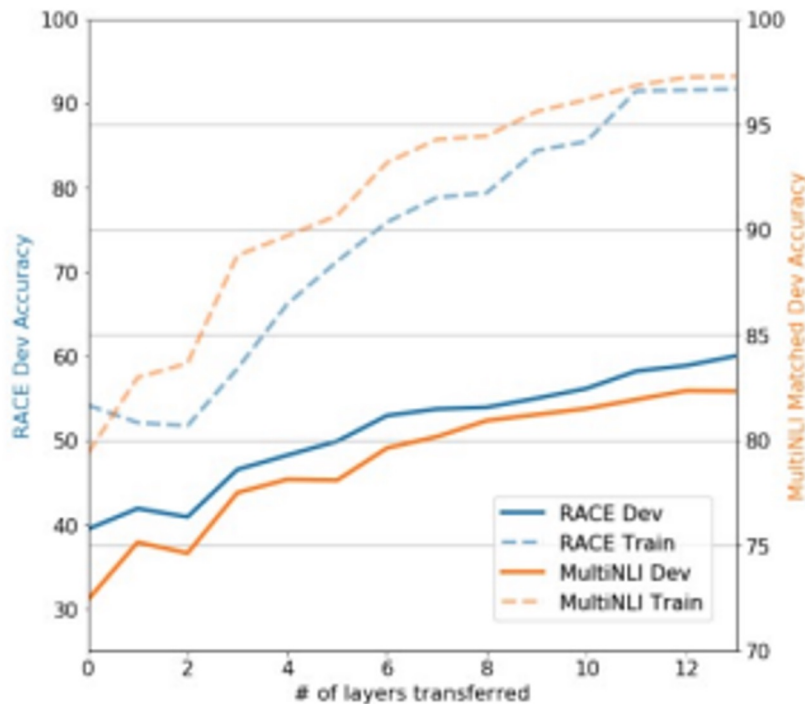
- GPT results on various natural language inference datasets.
- Simple but easily adaptable paradigm wins

Method	MNLI-m	MNLI-mm	SNLI	SciTail	QNLI	RTE
ESIM + ELMo [44] (5x)	-	-	<u>89.3</u>	-	-	-
CAFE [58] (5x)	80.2	79.0	<u>89.3</u>	-	-	-
Stochastic Answer Network [35] (3x)	<u>80.6</u>	<u>80.1</u>	-	-	-	-
CAFE [58]	78.7	77.9	88.5	<u>83.3</u>		
GenSen [64]	71.4	71.3	-	-	<u>82.3</u>	59.2
Multi-task BiLSTM + Attn [64]	72.2	72.1	-	-	82.1	<b>61.7</b>
Finetuned Transformer LM (ours)	<b>82.1</b>	<b>81.4</b>	<b>89.9</b>	<b>88.3</b>	<b>88.1</b>	56.0



# Effect of Pretraining in GPT

More layers or data always help



# Increasingly convincing generations (GPT2) (Radford et al., 2018)

- **GPT-2**, a larger version of GPT trained on more data, was shown to produce relatively convincing samples of natural language

**Context (human-written):** In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.

**GPT-2:** The scientist named the population, after their distinctive horn, Ovid's Unicorn. These four-horned, silver-white unicorns were previously unknown to science.

Now, after almost two centuries, the mystery of what sparked this odd phenomenon is finally solved.

Dr. Jorge Pérez, an evolutionary biologist from the University of La Paz, and several companions, were exploring the Andes Mountains when they found a small valley, with no other animals or humans. Pérez noticed that the valley had what appeared to be a natural fountain, surrounded by two peaks of rock and silver snow.



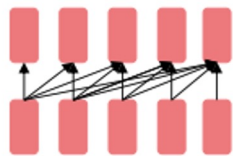
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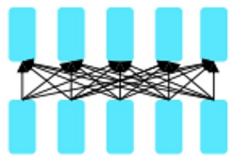


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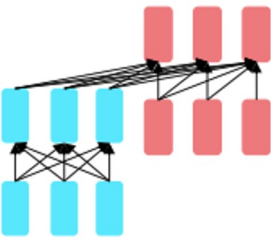
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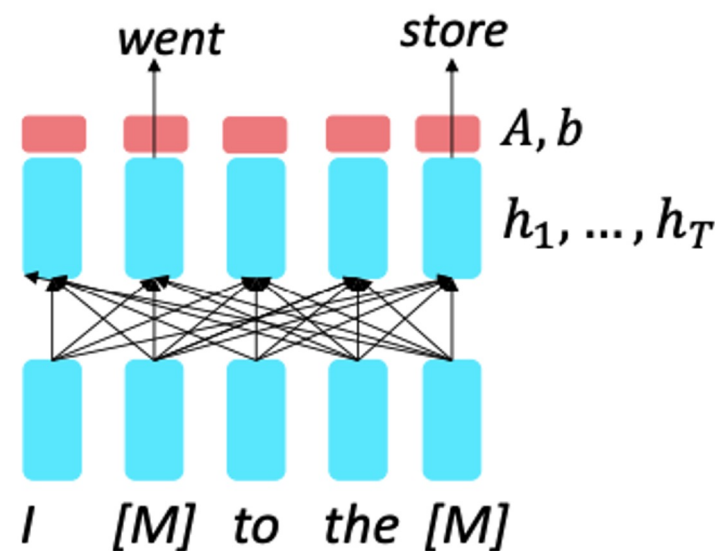
**Encoder-  
Decoders**

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# Pretraining and finetuning encoders

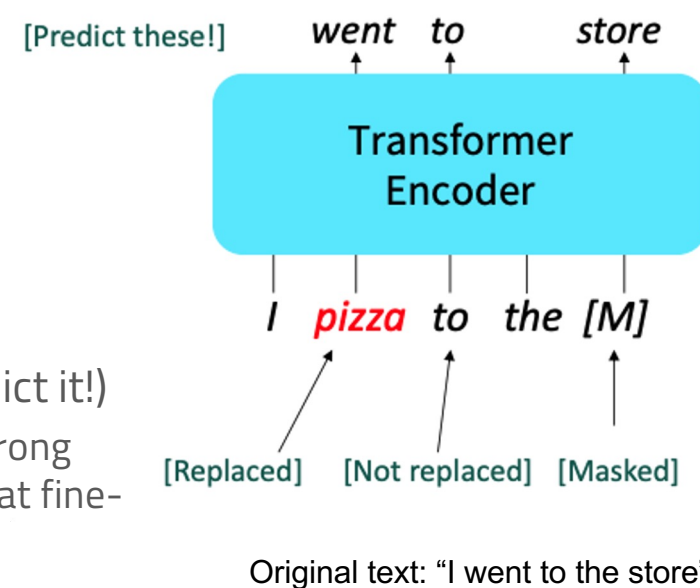
- ❑ So far, we've looked at language model pretraining. But, encoders get **bidirectional context**, so we can't do language modeling!
- ❑ Idea: replace some fraction of words in the input with a special **[MASK]** token; predict these words.
- ❑ Only add loss terms from words that are "masked out." If  $\hat{x}$  is the masked version of  $x$  we're learning  $P_{\theta}(x | \hat{x})$  called Masked LM.



# BERT: Bidirectional Encoder Representations from Transformers

(Devlin et al., 2018)

- Devlin et al., 2018 proposed the “Masked LM” objective and released the weights of their pretrained Transformer (BERT).
- Details about Masked LM for BERT:
  - Predict a random 15% of (sub)word tokens.
    - Replace input word with [MASK] 80% of the time
    - Replace input word with a random token 10% of the time
    - Leave input word unchanged 10% of the time (but still predict it!)
      - Why? Doesn't let the model get complacent and not build strong representations of non-masked words. (No masks are seen at fine-tuning time!)

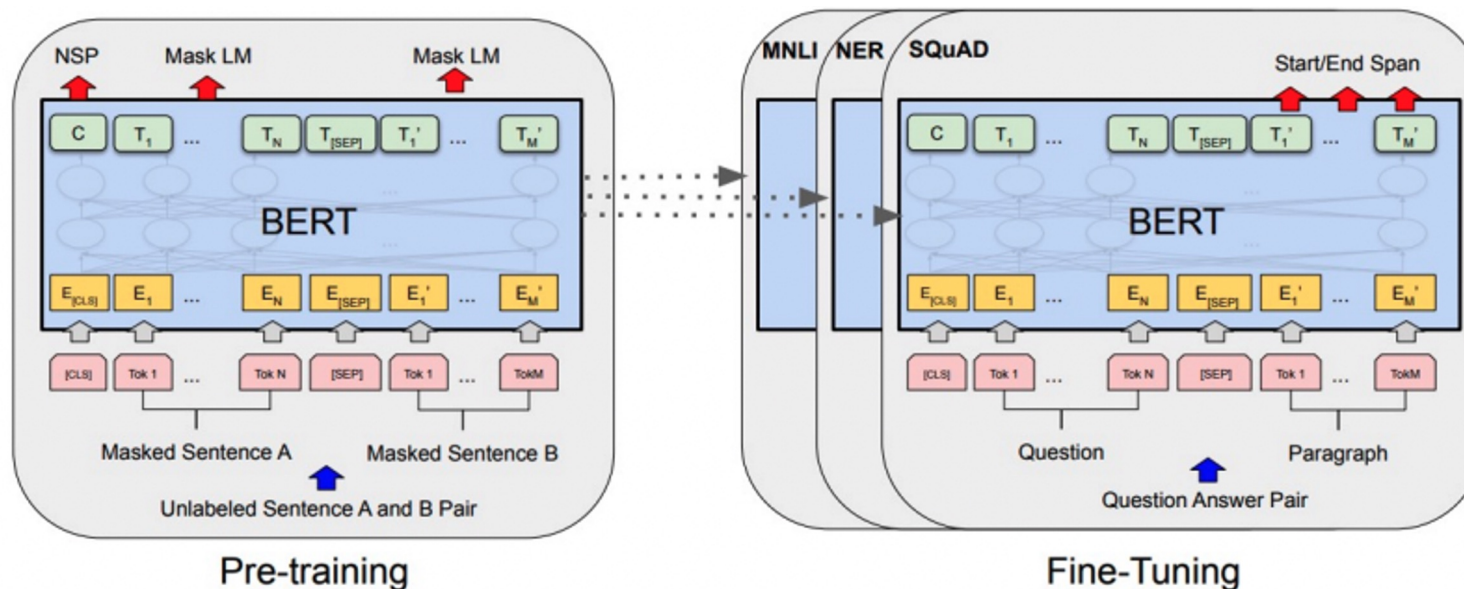




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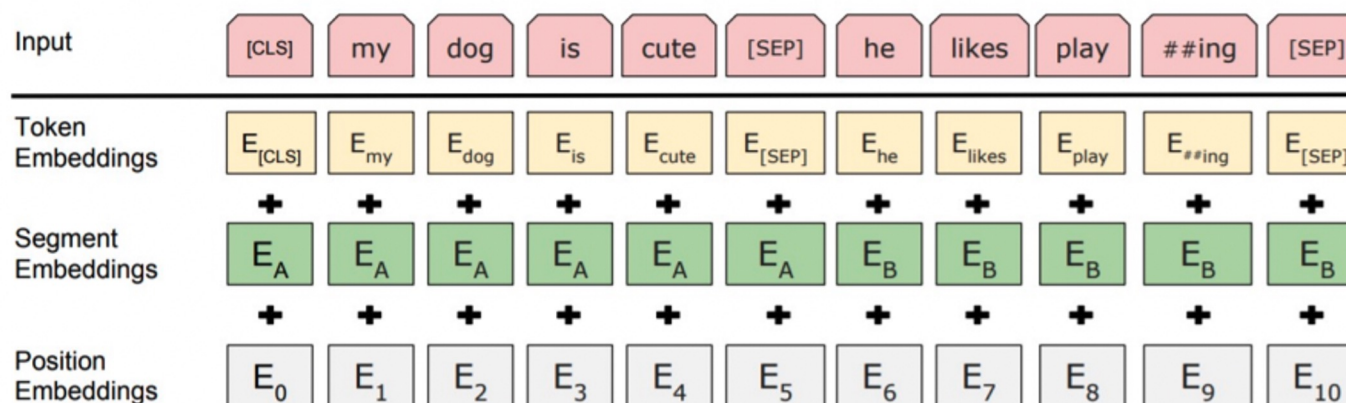
- Unified Architecture: As shown below, there are minimal differences between the pre-training architecture and the fine-tuned version for each downstream task



# BERT: Bidirectional Encoder Representations from Transformers

(Devlin et al., 2018)

□ The pretraining input to BERT was two separate contiguous chunks of text:



□ BERT was trained to predict whether one chunk follows the other or is randomly sampled.

- Later work; RoBERTa (Liu et al., 2019) has argued this “next sentence prediction” is not necessary.



# Details about BERT Training

- ❑ Two models were released:
  - BERT-base: 12 layers, 768-dim hidden, 12 attention heads, 110 million params.
  - BERT-large: 24 layers, 1024-dim hidden, 16 attention heads, 340 million params.
- ❑ Trained on:
  - BookCorpus (800 million words)
  - English Wikipedia (2,500 million words)
- ❑ Pretraining is expensive and impractical on a single GPU.
  - BERT was pretrained with **64 TPU chips for a total of 4 days**
    - TPUs are special tensor operation acceleration hardware developed by Google
- ❑ Finetuning is practical and common on a single GPU
  - “Pretrain once, finetune many times.”



# BERT: Bidirectional Encoder Representations from Transformers (Devlin et al., 2018)

□ BERT was massively popular and hugely versatile; finetuning BERT led to new state-of-the-art results on a broad range of tasks.

- **QQP**: Quora Question Pairs (detect paraphrase questions)
- **QNLI**: natural language inference over question answering data
- **SST-2**: sentiment analysis
- **CoLA**: corpus of linguistic acceptability (detect whether sentences are grammatical.)
- **STS-B**: semantic textual similarity
- **MRPC**: microsoft paraphrase corpus
- **RTE**: a small natural language inference corpus

System	MNLI-(m/mm) 392k	QQP 363k	QNLI 108k	SST-2 67k	CoLA 8.5k	STS-B 5.7k	MRPC 3.5k	RTE 2.5k	Average
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.8	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	87.4	91.3	45.4	80.0	82.3	56.0	75.1
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
BERT <sub>LARGE</sub>	<b>86.7/85.9</b>	<b>72.1</b>	<b>92.7</b>	<b>94.9</b>	<b>60.5</b>	<b>86.5</b>	<b>89.3</b>	<b>70.1</b>	<b>82.1</b>

BERT-base was chosen to have the same number of parameters as OpenAI's GPT



# BERT: Bidirectional Encoder Representations from Transformers (Devlin et al., 2018)

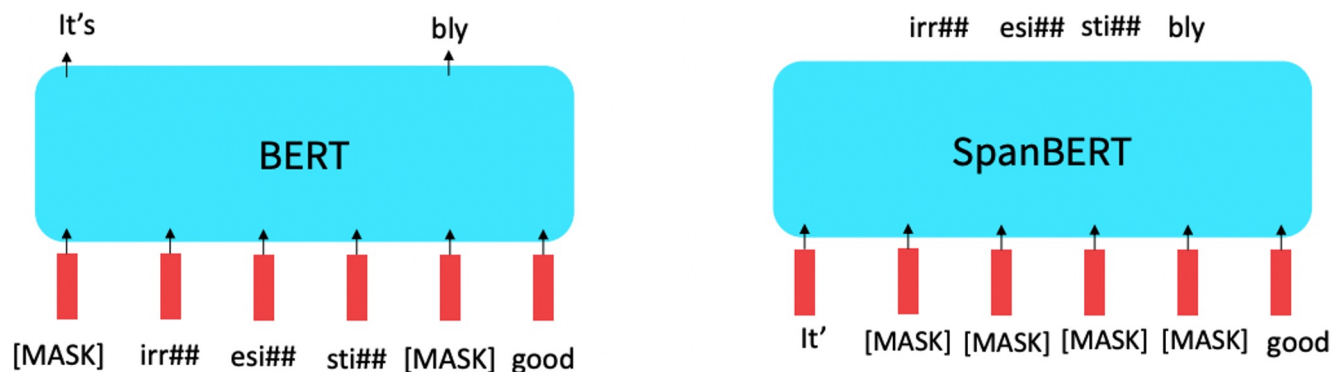
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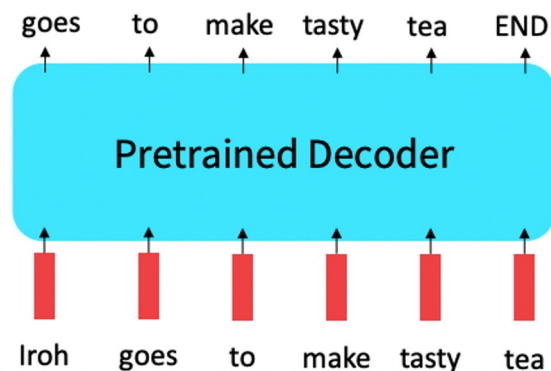
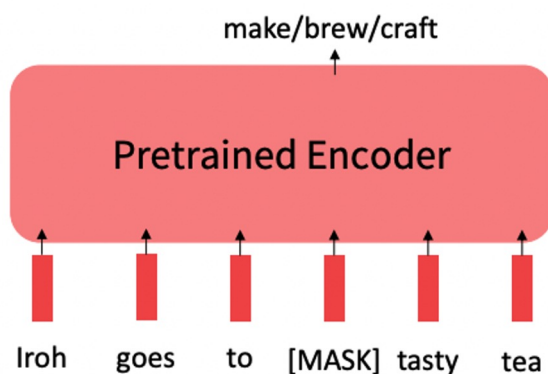
# Extension of BERT

- You'll see a lot of BERT variants like RoBERTa, SpanBERT, ++
  - RoBERTa: mainly just train BERT for longer and remove next sentence prediction!
  - SpanBERT: masking contiguous spans of words makes a harder, more useful pretraining task

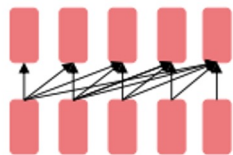


# Limitations of pretrained encoders

- If your task involves generating sequences, consider using a pretrained decoder; BERT and other pretrained encoders don't naturally lead to nice **autoregressive** (1-word-at-a-time) generation methods.

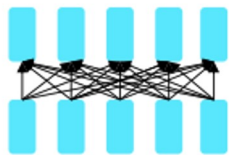


# Pretraining for three types of architectures



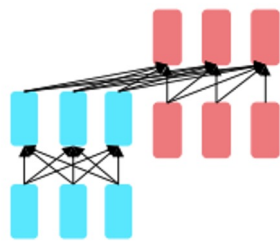
**Decoders**

- ❑ Simple left-to-right language models!
- ❑ Nice to generate from; can't condition on future words
- ❑ Examples: GPT-2, GPT-3, LaMDA



**Encoders**

- ❑ Gets bidirectional context – can condition on future!
- ❑ Masked language models
- ❑ Examples: BERT, RoBERTa



**Encoder-  
Decoders**

- ❑ Good parts of decoders and encoders?
- ❑ What's the best way to pretrain them?
- ❑ Examples: T5, BART



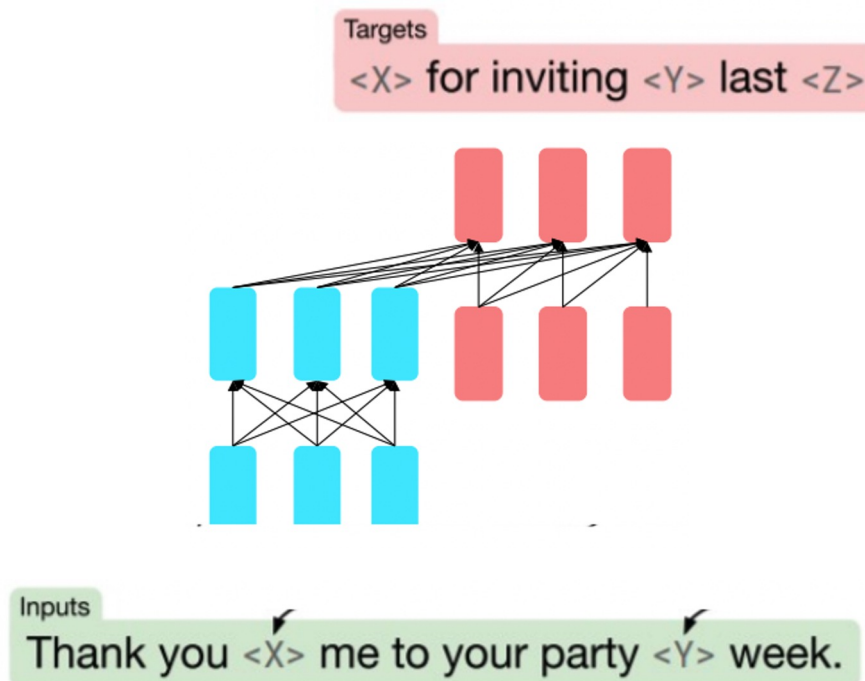


# Pretraining encoder-decoders

- What Raffel et al., 2018 found to work best was **span corruption**. Their model: **T5**.
- Replace different-length spans from the input with unique placeholders (<x>, <y>); decode out the spans that were removed!

Original text

Thank you ~~for inviting~~ me to your party ~~last~~ week.



# Pretraining encoder-decoders

- Raffel et al., 2018 found **encoder-decoders** to work better than decoders for their tasks, and span corruption (denoising) to work better than language modeling.

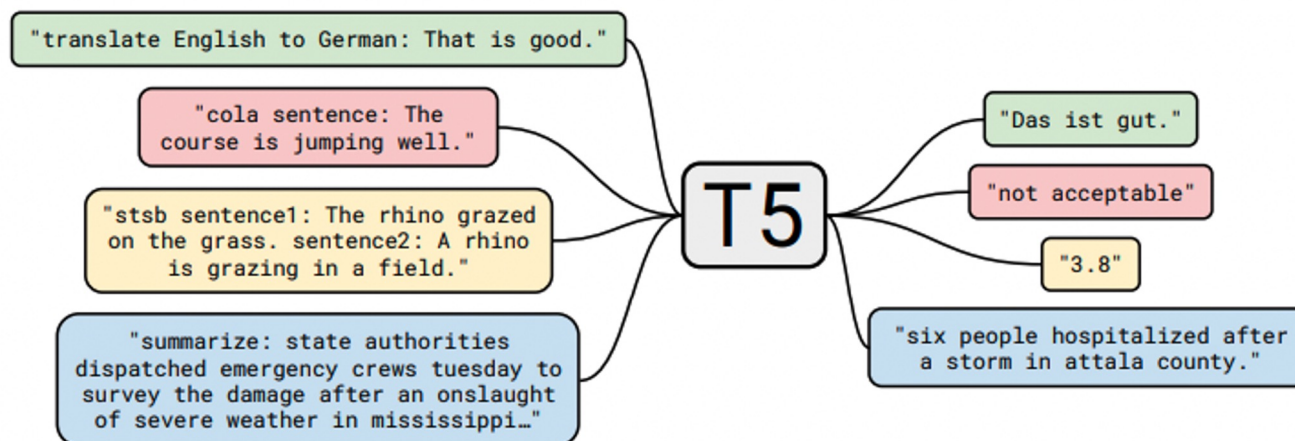
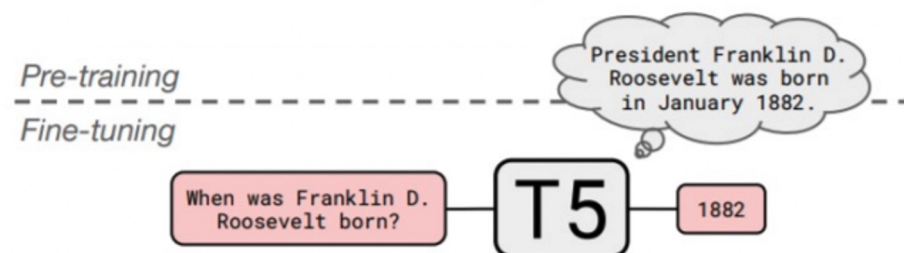
Architecture	Objective	Params	Cost	GLUE	CNNDM	SQuAD	SGLUE	EnDe	EnFr	EnRo
★ Encoder-decoder	Denoising	$2P$	$M$	<b>83.28</b>	<b>19.24</b>	<b>80.88</b>	<b>71.36</b>	<b>26.98</b>	<b>39.82</b>	<b>27.65</b>
Enc-dec, shared	Denoising	$P$	$M$	82.81	18.78	<b>80.63</b>	<b>70.73</b>	26.72	39.03	<b>27.46</b>
Enc-dec, 6 layers	Denoising	$P$	$M/2$	80.88	18.97	77.59	68.42	26.38	38.40	26.95
Language model	Denoising	$P$	$M$	74.70	17.93	61.14	55.02	25.09	35.28	25.86
Prefix LM	Denoising	$P$	$M$	81.82	18.61	78.94	68.11	26.43	37.98	27.39
Encoder-decoder	LM	$2P$	$M$	79.56	18.59	76.02	64.29	26.27	39.17	26.86
Enc-dec, shared	LM	$P$	$M$	79.60	18.13	76.35	63.50	26.62	39.17	27.05
Enc-dec, 6 layers	LM	$P$	$M/2$	78.67	18.26	75.32	64.06	26.13	38.42	26.89
Language model	LM	$P$	$M$	73.78	17.54	53.81	56.51	25.23	34.31	25.38
Prefix LM	LM	$P$	$M$	79.68	17.84	76.87	64.86	26.28	37.51	26.76



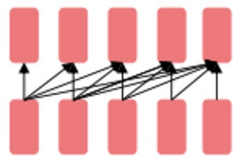
# Pretraining encoder-decoders

A fascinating property of T5:

- ❑ finetune to answer a wide range of questions, retrieving knowledge from its parameters
- ❑ Multi-task learning

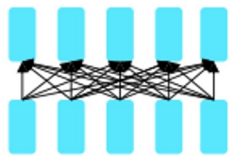


# Pretraining for three types of architectures



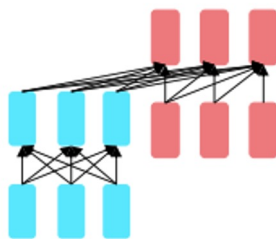
**Decoders**

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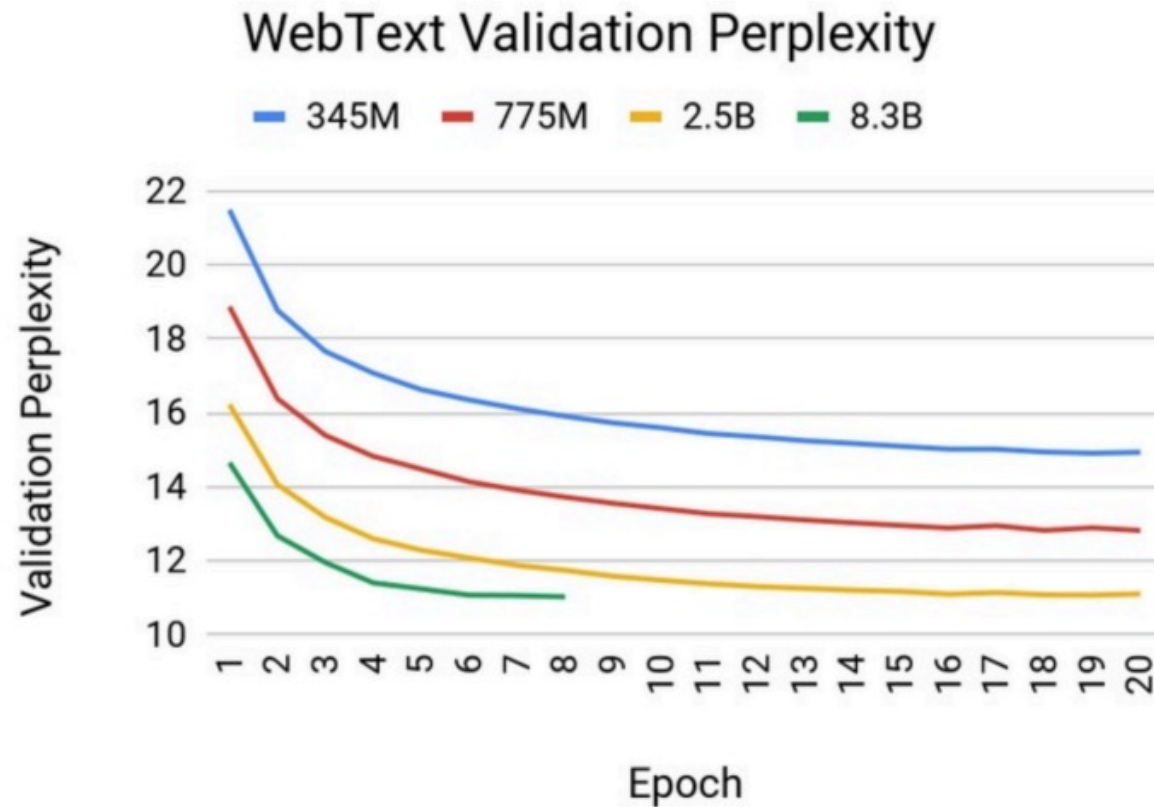
# GPT3, in-context learning, and VERY large language models

Model	Layers	Width	Heads	Params	Data	Training
Transformer-Base	12	512	8	65M		8x P100 (12 hrs)
Transformer-Large	12	1024	16	213M		8x P100 (3.5 days)
BERT-Base	12	768	12	110M	13GB	
BERT-Large	24	1024	16	340M	13GB	
XLNet-Large	24	1024	16	340M	126GB	512x TPU-v3 (2.5 days)
RoBERTa	24	1024	16	355M	160GB	1024x V100 (1 day)
GPT-2	48	1600	?	1.5B	40GB	
Megatron-LM	72	3072	32	8.3B	174GB	512x V100 (9 days)
Turing-NLG	78	4256	28	17B	?	256x V100
GPT-3	96	12288	96	175B	694GB	?

Brown et al, "Language Models are Few-Shot Learners", arXiv 2020

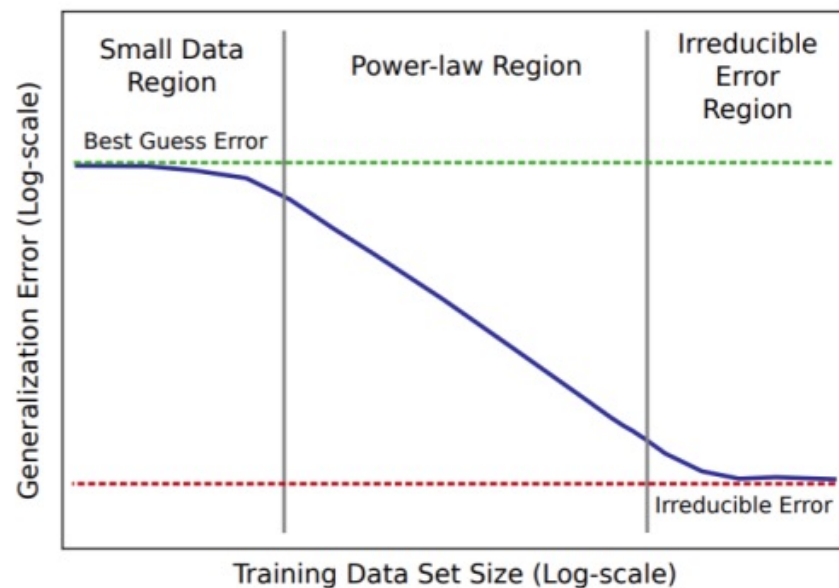


# What are the scaling limits of large language models?

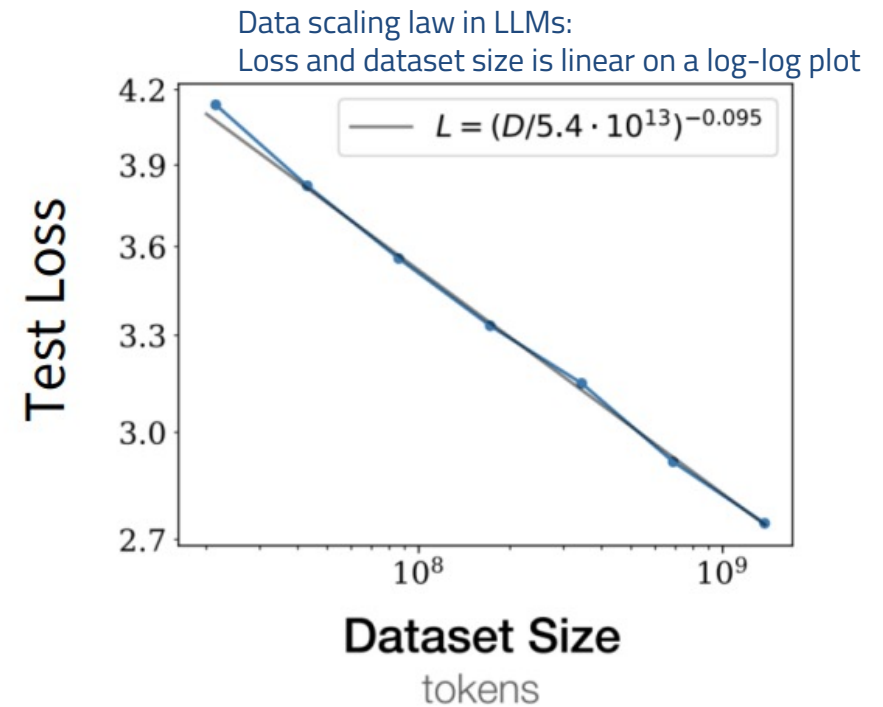


# Data vs performance

- What's a data scaling law? simple formula that maps dataset size (n) to error



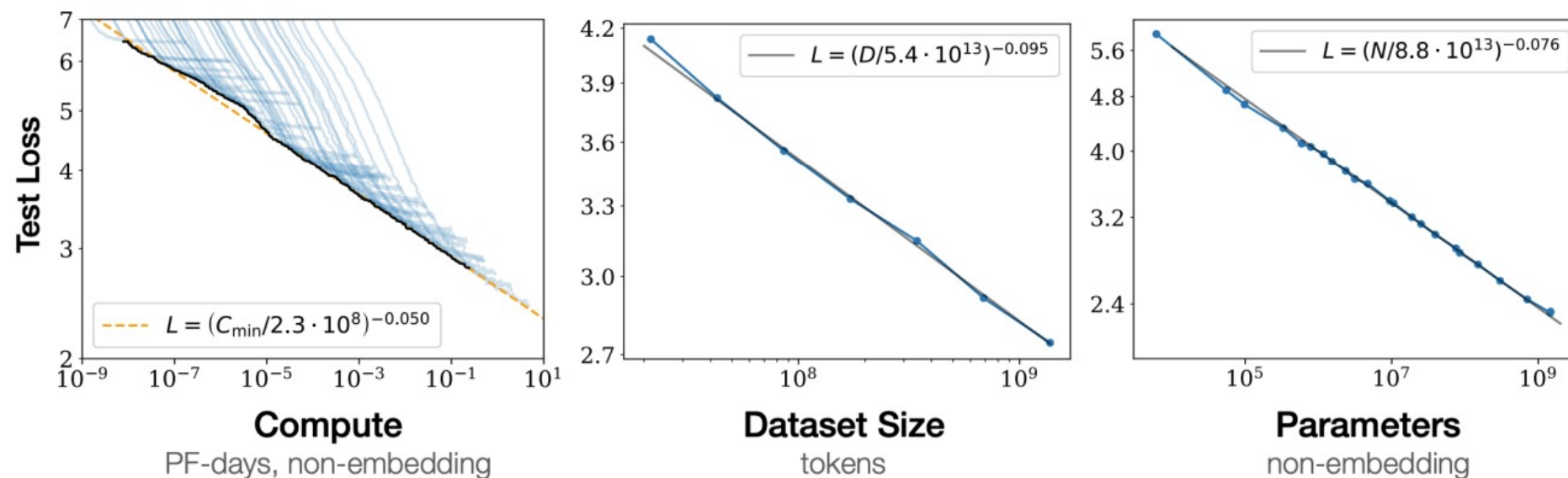
(Hestness+ 2017)



(Kaplan+ 2020)



# Scaling Laws in LLM Pretraining



**Figure 1** Language modeling performance improves smoothly as we increase the model size, dataset size, and amount of compute<sup>2</sup> used for training. For optimal performance all three factors must be scaled up in tandem. Empirical performance has a power-law relationship with each individual factor when not bottlenecked by the other two.





# GPT3

- GPT-2 but even larger: 1.3B -> 175B parameter models

Model Name	$n_{\text{params}}$	$n_{\text{layers}}$	$d_{\text{model}}$	$n_{\text{heads}}$	$d_{\text{head}}$	Batch Size	Learning Rate
GPT-3 Small	125M	12	768	12	64	0.5M	$6.0 \times 10^{-4}$
GPT-3 Medium	350M	24	1024	16	64	0.5M	$3.0 \times 10^{-4}$
GPT-3 Large	760M	24	1536	16	96	0.5M	$2.5 \times 10^{-4}$
GPT-3 XL	1.3B	24	2048	24	128	1M	$2.0 \times 10^{-4}$
GPT-3 2.7B	2.7B	32	2560	32	80	1M	$1.6 \times 10^{-4}$
GPT-3 6.7B	6.7B	32	4096	32	128	2M	$1.2 \times 10^{-4}$
GPT-3 13B	13.0B	40	5140	40	128	2M	$1.0 \times 10^{-4}$
GPT-3 175B or “GPT-3”	175.0B	96	12288	96	128	3.2M	$0.6 \times 10^{-4}$

- Trained on 570GB of Common Crawl
- 175B parameter model’s parameters alone take >400GB to store (4 bytes per param).  
Trained in parallel on a “high bandwidth cluster provided by Microsoft”



# GPT3, in-context learning, and VERY large language models

- ❑ So far, we've interacted with pretrained models in two ways:
  - Sample from the distributions they define
  - Fine-tune them on a task we care about, and then take their predictions
- ❑ **Emergent behavior:** Very large language models seem to perform some kind of learning **without gradient steps** simply from examples you provide **within their contexts**.
  - GPT-3 is the canonical example of this. The largest T5 model had **11 billion** parameters. GPT-3 has **175 billion** parameters

GPT-2	48	1600	?	1.5B	40GB	
Megatron-LM	72	3072	32	8.3B	174GB	512x V100 (9 days)
Turing-NLG	78	4256	28	17B	?	256x V100
GPT-3	96	12288	96	175B	694GB	?

Brown et al, "Language Models are Few-Shot Learners", arXiv 2020



# In-context learning

- ❑ Step 1: Specify the task to be performed,
- ❑ Step 2: the conditional distribution (i.e., “loutre”...) mimics performing the task to a certain extent.

**Input** (prefix within a single Transformer decoder context):

```
“  
  thanks -> merci  
  hello -> bonjour  
  mint -> menthe  
  otter ->  
”
```

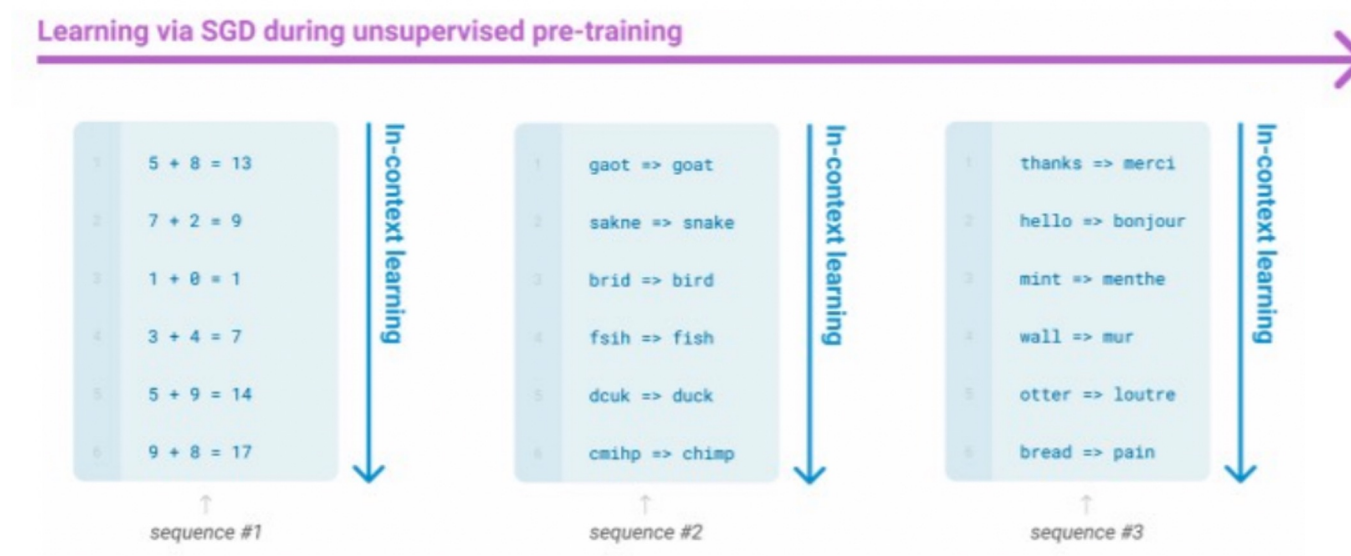
**Output** (conditional generation)

```
loutre ...
```



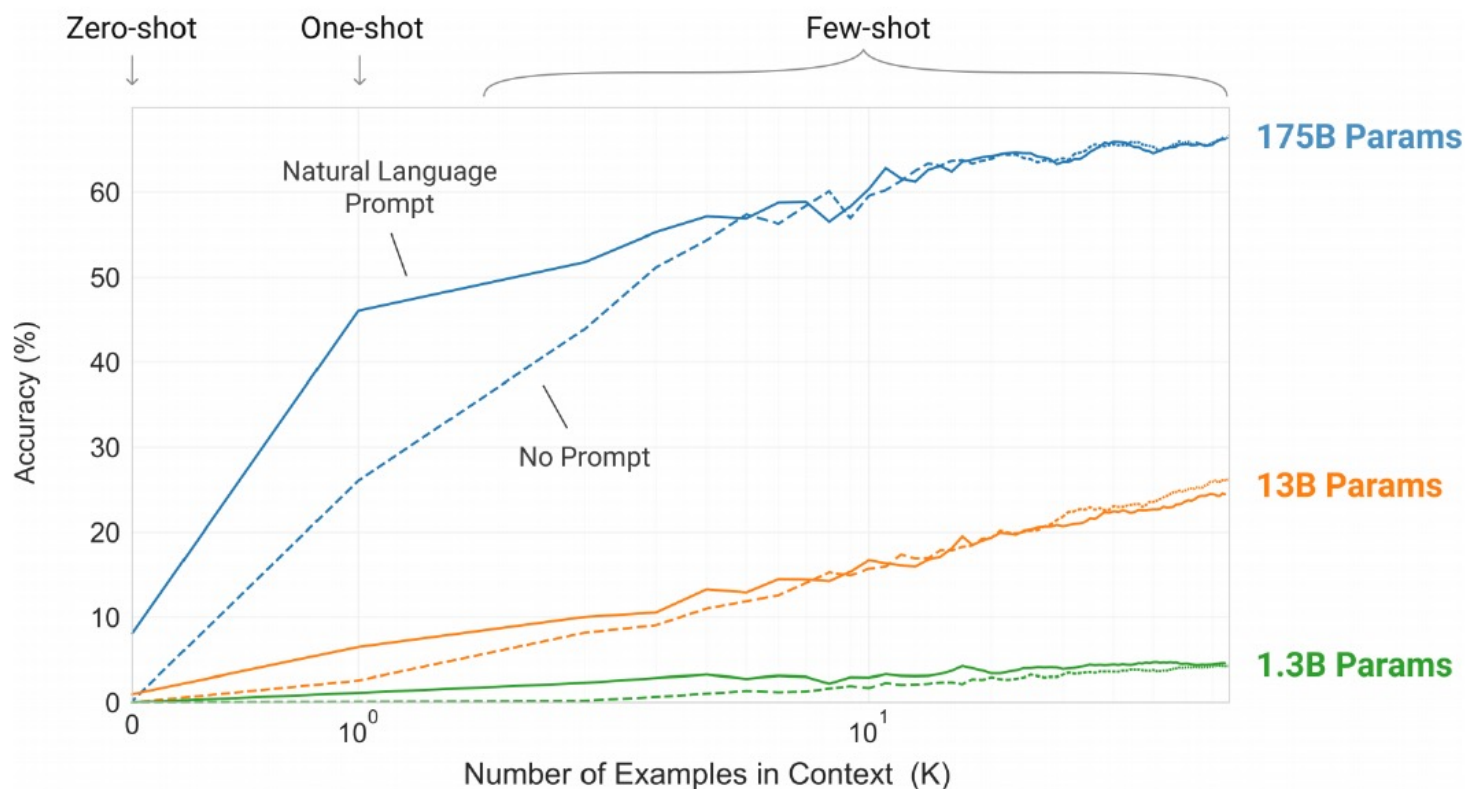
# In-context learning

- Very large language models seem to perform some kind of learning **without gradient steps** simply from examples you provide within their contexts.



# GPT3

- **Key observation:** few-shot learning only works with the very largest models!



# GPT3

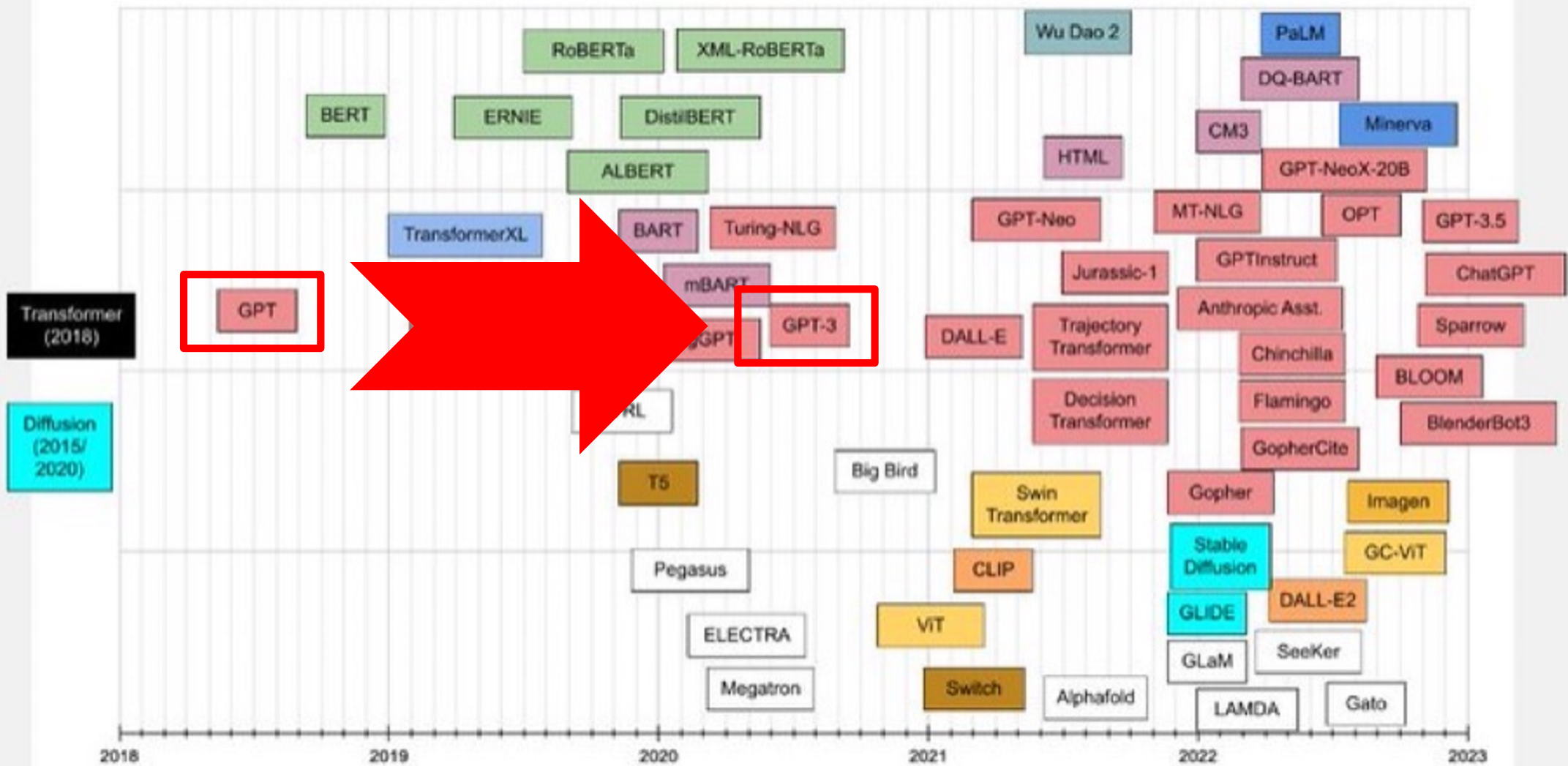
	SuperGLUE Average	BoolQ Accuracy	CB Accuracy	CB F1	COPA Accuracy	RTE Accuracy
Fine-tuned SOTA	<b>89.0</b>	<b>91.0</b>	<b>96.9</b>	<b>93.9</b>	<b>94.8</b>	<b>92.5</b>
Fine-tuned BERT-Large	69.0	77.4	83.6	75.7	70.6	71.7
GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0

	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	<b>76.1</b>	<b>93.8</b>	<b>62.3</b>	<b>88.2</b>	<b>92.5</b>	<b>93.3</b>
Fine-tuned BERT-Large	69.6	64.6	24.1	70.0	71.3	72.0
GPT-3 Few-Shot	49.4	80.1	30.5	75.4	90.2	91.1

- ❑ Sometimes very impressive, sometimes very bad
- ❑ Results on other datasets are equally mixed — but still strong for a few-shot model!





# Scaling law in language model

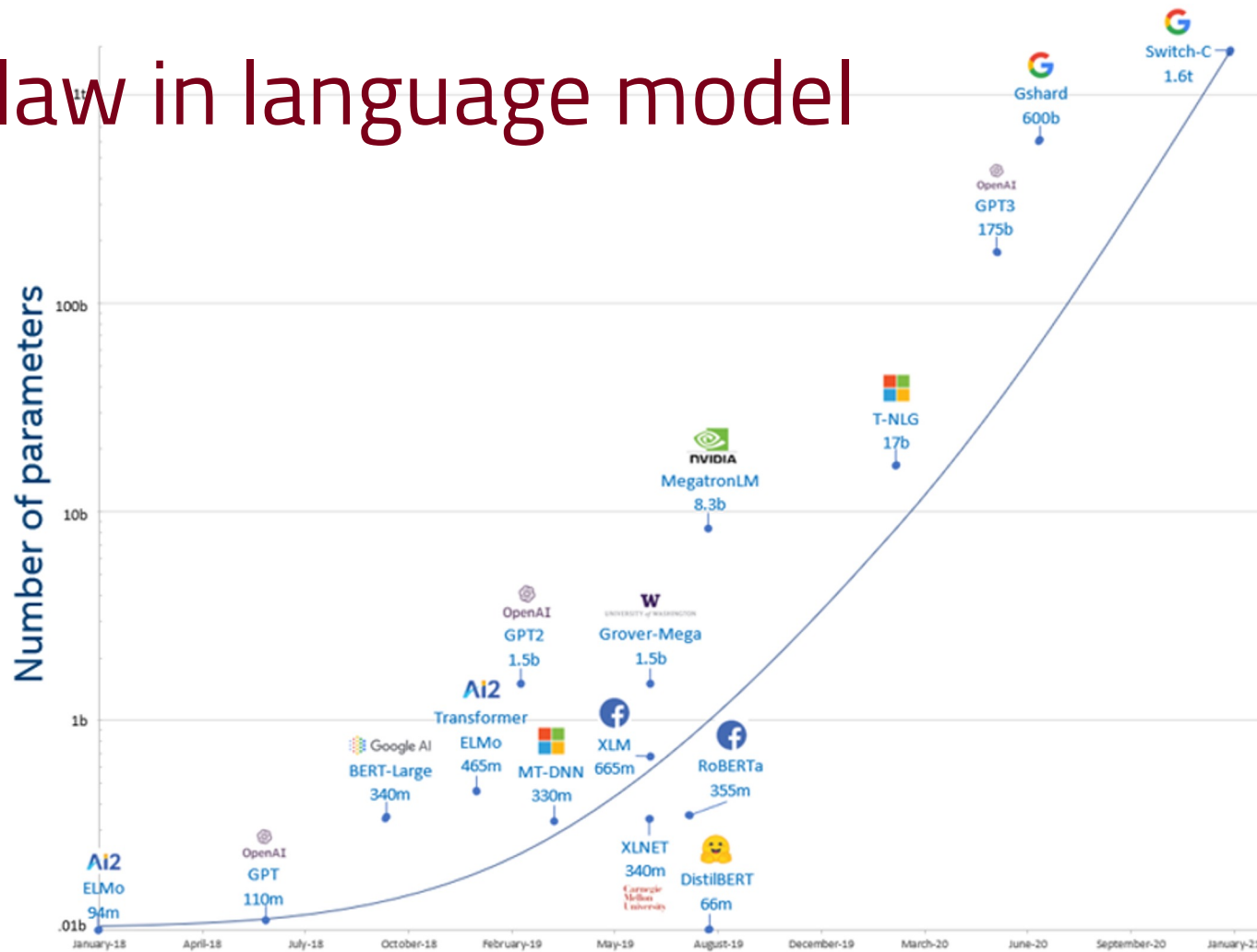


Figure 1: Exponential growth of number of parameters in DL models





QUESTION ANSWERING

ARITHMETIC

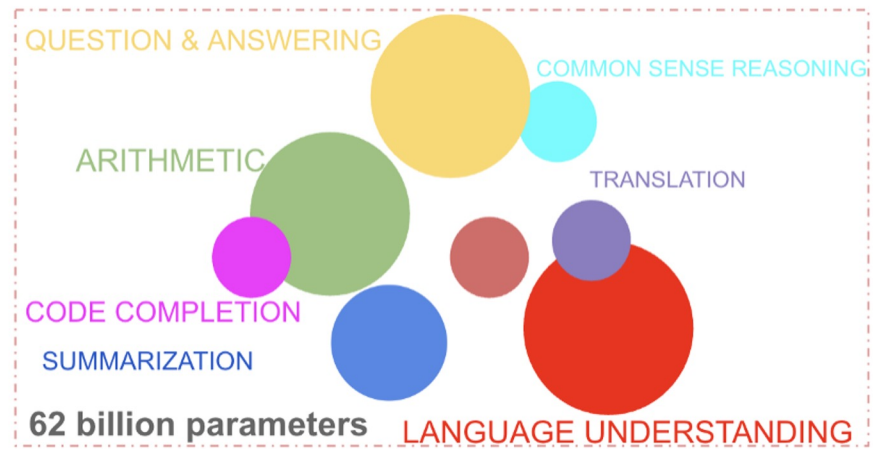
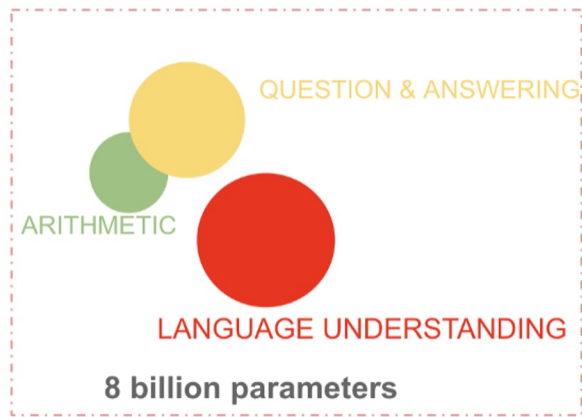


LANGUAGE UNDERSTANDING

8 billion parameters

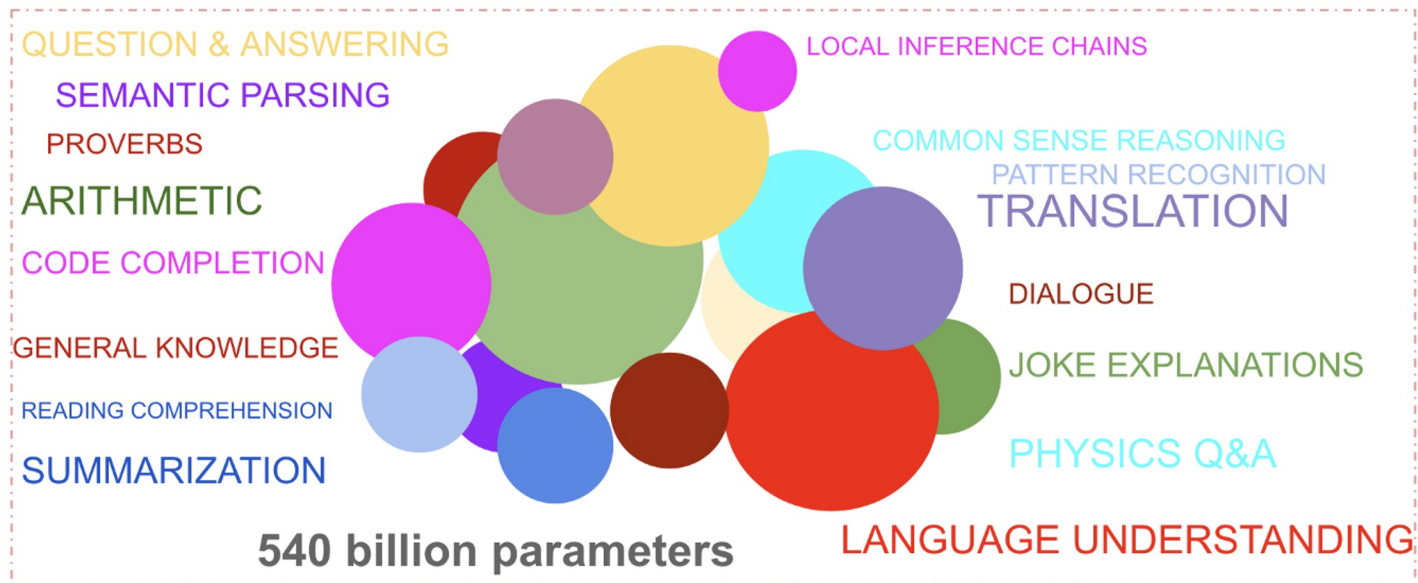
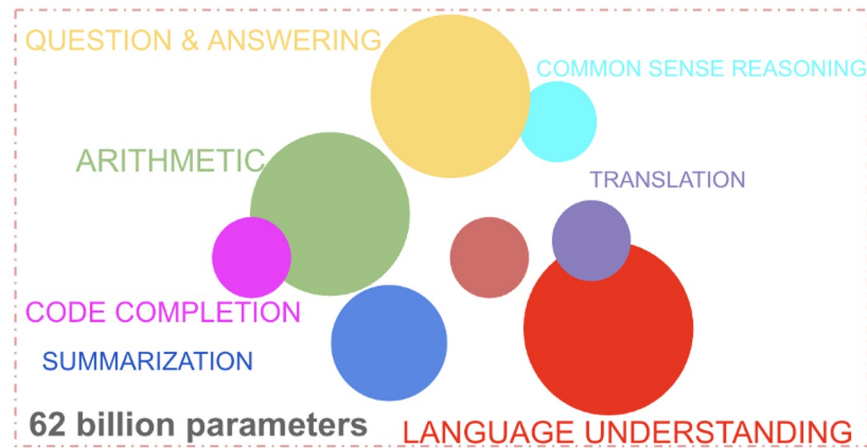
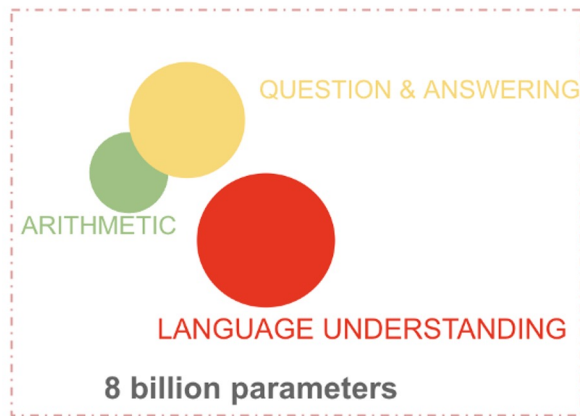
<https://ai.googleblog.com/2022/04/pathways-language-model-palm-scaling-to.html>





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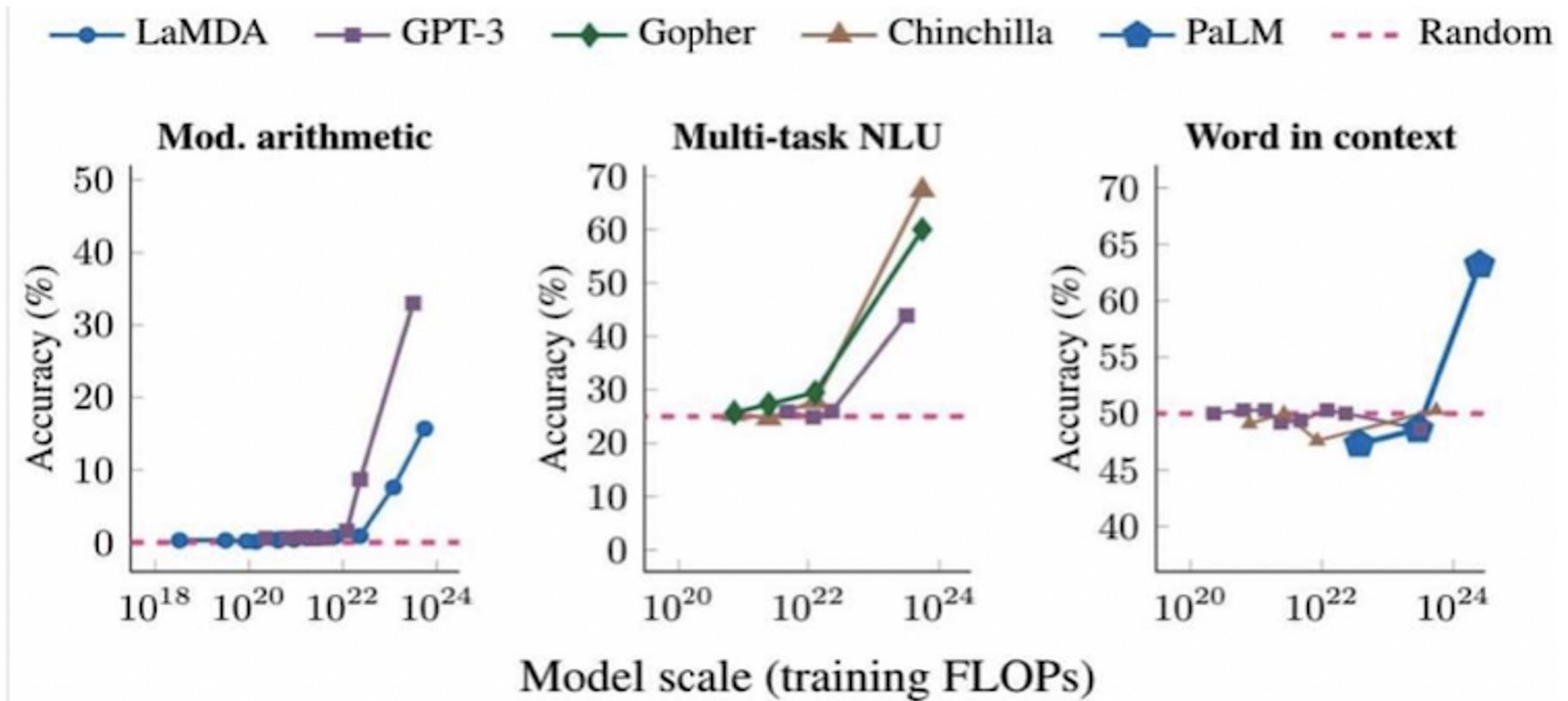


<https://ai.googleblog.com/2022/04/pathways-language-model-palm-scaling-to.html>



# Emergent behavior from Scaling Law:

Quantum performance jump when +100B parameters



Jeff Dean <https://ai.googleblog.com/2023/01/google-research-2022-beyond-language.html>



# Scaling Law in Vision-Language Model



Figure 4. The generated image for the text "A portrait photo of a kangaroo wearing an orange hoodie and blue sunglasses standing on the grass in front of the Sydney Opera House holding a sign on the chest that says Welcome Friends!". Note the model gets the text in the image "welcome friends" correct at 20B.

<https://towardsdatascience.com/a-quiet-shift-in-the-nlp-ecosystem-84672b8ec7af>

# Pre-Training Cost (with Google/AWS)

- ❑ BERT: Base \$500, Large \$7000
- ❑ Grover-MEGA: \$25,000
- ❑ XLNet (BERT variant): \$30,000 — \$60,000 (unclear)
  
- ❑ This is for a single pre-training run...developing new pre-training techniques may require many runs
- ❑ Fine-tuning these models can typically be done with a single GPU (but may take 1-3 days for medium-sized datasets)

[hOps://syncedreview.com/2019/06/27/the-staggering-cost-of-training-sota-ai-models/](https://syncedreview.com/2019/06/27/the-staggering-cost-of-training-sota-ai-models/)



# Pre-Training Cost and Environmental Cost

## □ GPT-3: estimated to be \$4.6M.

- One recent estimate pegged the cost of running GPT-3 on a single AWS web server to cost \$87,000 a year at minimum
- This cost has a large carbon footprint

Carbon footprint: equivalent to driving 700,000 km by car (source: Anthropocene magazine)

Counterpoints: GPT-3 isn't trained frequently, equivalent to 100 people traveling 7000 km for a conference, can use renewables

## □ BERT-Base pre-training: carbon emissions roughly on the same order as a single passenger on a flight from NY to San Francisco

Strubell et al. (2019)

<https://lambdalabs.com/blog/demysHfying-gpt-3/>

<https://www.technologyreview.com/2019/06/06/239031/training-a-singleai-model-can-emit-as-much-carbon-as-five-cars-in-their-lifeHmes/>

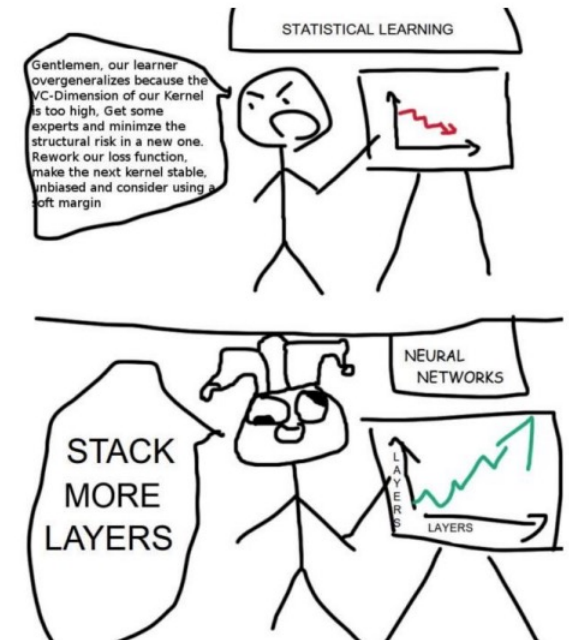


# Q: Can big language models solve every problem?

❑ We can use scaling laws to answer this!

- For each capability (e.g. question answering)..
- Build a scaling law for compute capacity.
- Extrapolate the scaling curve.

❑ Can 'reasonable' amounts of compute solve our problems?



Taken from r/programmerhumor



# Will we solve the Winograd schema?

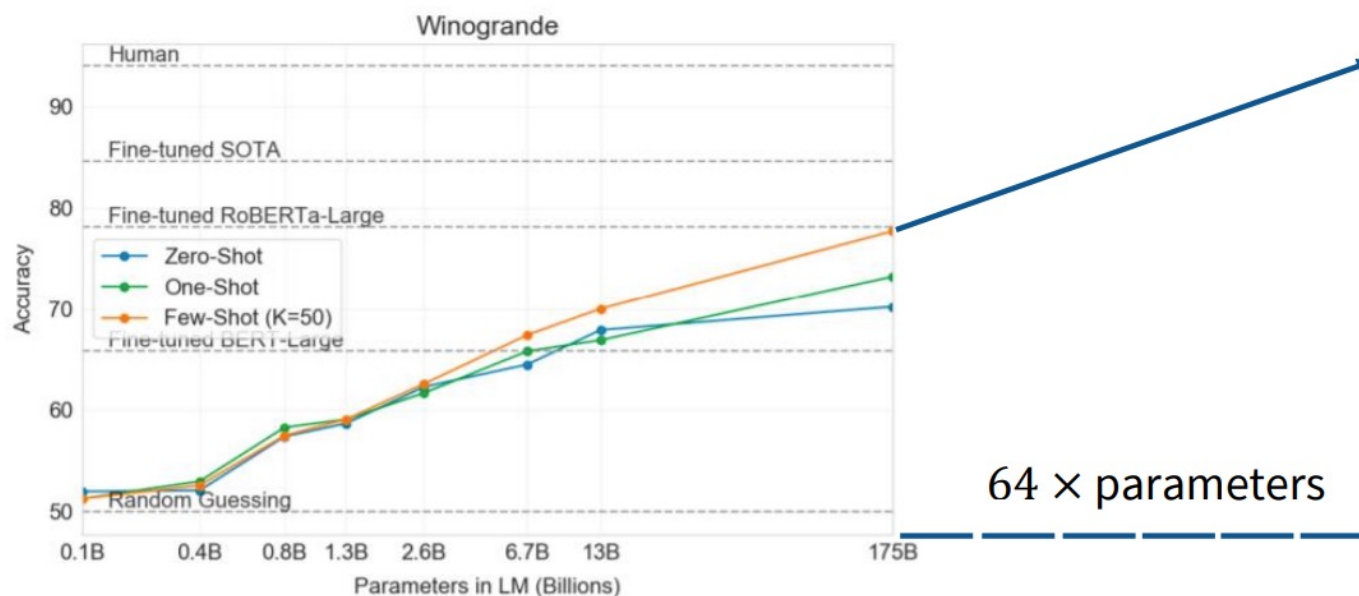
	Twin sentences		Options (answer)
✓ (1)	a	The trophy doesn't fit into the brown suitcase because <b>it's</b> too <u>large</u> .	<b>trophy</b> / suitcase
	b	The trophy doesn't fit into the brown suitcase because <b>it's</b> too <u>small</u> .	trophy / <b>suitcase</b>
✓ (2)	a	Ann asked Mary what time the library closes, <u>because</u> <b>she</b> had forgotten.	<b>Ann</b> / Mary
	b	Ann asked Mary what time the library closes, <u>but</u> <b>she</b> had forgotten.	Ann / <b>Mary</b>
✗ (3)	a	The tree fell down and crashed through the roof of my house. Now, I have to get <b>it</b> <u>removed</u> .	<b>tree</b> / roof
	b	The tree fell down and crashed through the roof of my house. Now, I have to get <b>it</b> <u>repaired</u> .	tree / <b>roof</b>
✗ (4)	a	The lions ate the zebras because <b>they</b> are <u>predators</u> .	<b>lions</b> / zebras
	b	The lions ate the zebras because <b>they</b> are <u>meaty</u> .	lions / <b>zebras</b>

Current GPT-3 performance after seeing 50 examples: 77%. Can we push this further?



# How much more compute for human-level reasoning?

Just extend the line for the scaling law..



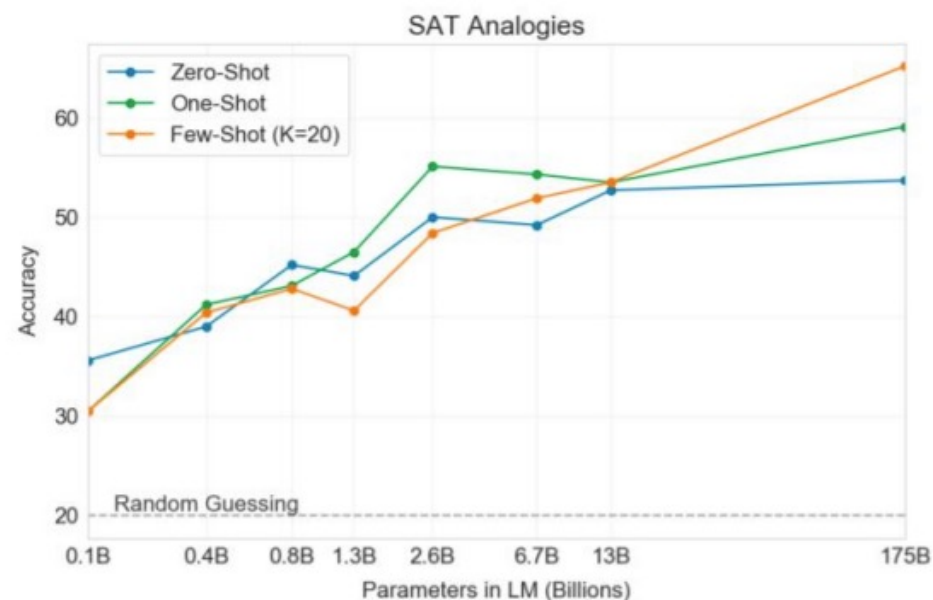
If the scaling law holds.. Roughly 64 times more parameters will get us to human-level



# Another setting: SAT analogies

Context →	lull is to trust as
Correct Answer →	cajole is to compliance
Incorrect Answer →	balk is to fortitude
Incorrect Answer →	betray is to loyalty
Incorrect Answer →	hinder is to destination
Incorrect Answer →	soothe is to passion

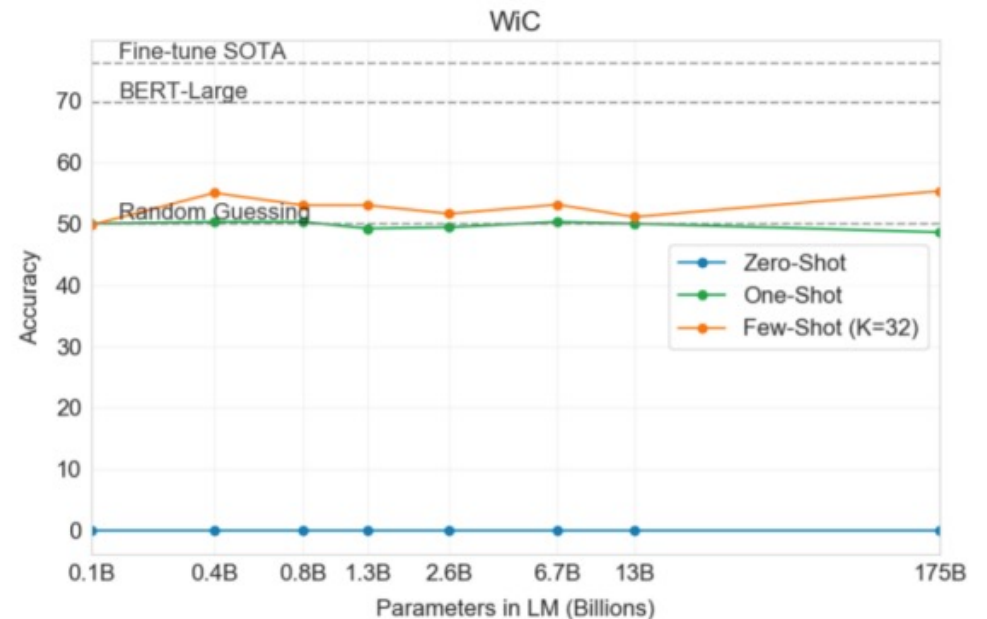
Scaling: clear linear scaling  
in log space.



# Less optimistic scaling curves

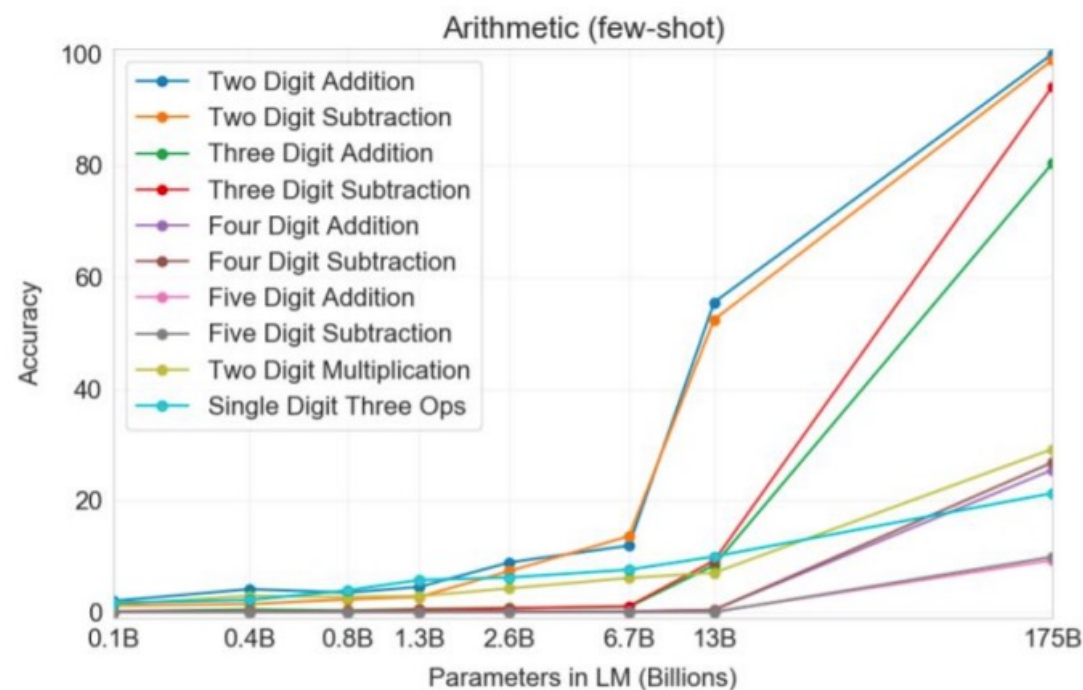
Label	Target	Context-1	Context-2
F	bed	There's a lot of trash on the <u>bed</u> of the river	I keep a glass of water next to my <u>bed</u> when I sleep
F	land	The pilot managed to <u>land</u> the airplane safely	The enemy <u>landed</u> several of our aircrafts
F	justify	<u>Justify</u> the margins	The end <u>justifies</u> the means
T	beat	We <u>beat</u> the competition	Agassi <u>beat</u> Becker in the tennis championship

- Scaling: near-zero. GPT-3 paper notes 'pairwise comparison' tasks are harder.

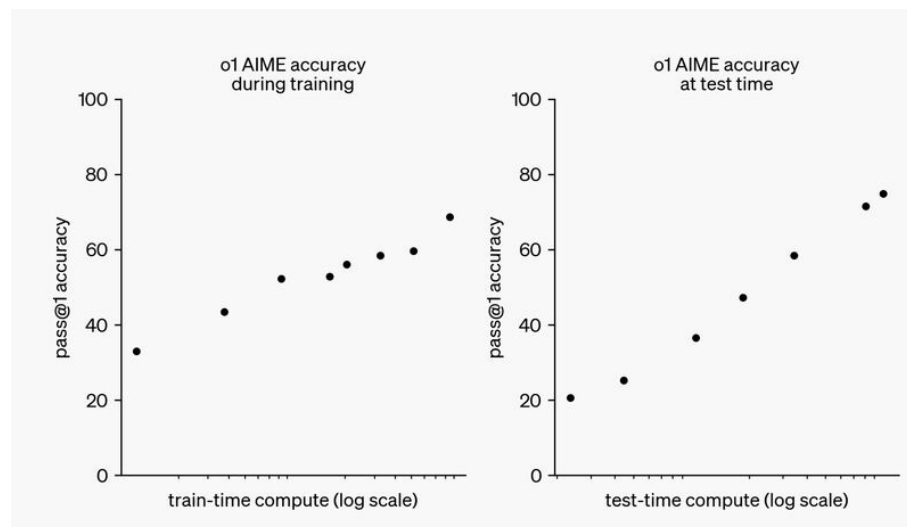


# Phase transitions

- ❑ Thus far: everything has had linear scaling (with different slopes).
- ❑ Phase transitions are sudden, discontinuous jumps in performance.
- ❑ The GPT-3 paper has some intriguing observations on phase transitions..
- ❑ Do we expect to see more phase transitions? This is probably the 'big unknown' in LM scaling!



# Inference Scaling Law (Extensive Search)



Beyond Chinchilla-Optimal: Accounting for Inference in Language Model Scaling Laws

Large Language Monkeys: Scaling Inference Compute with Repeated Sampling.

- DeepSeek-Coder increases from 15.9% with one sample to 56% on SWE-Bench

Scaling LLM Test-Time Compute Optimally can be More Effective than Scaling Model Parameters.

- PaLM 2-S beats a 14x larger model on MATH with test-time search.

Image credits: Jim Fan



# Remarks

- ❑ We learned about GPT-X, BERT, T5 and other large pre-trained language models
- ❑ Emergent in-context learning is not yet well-understood!
- ❑ “Small” models like BERT have become general tools in a wide range of settings.
- ❑ Some tasks will just improve continually via scale and even quadratic jump (i.e., emergent behavior), but some fails.
- ❑ Scaling laws are interesting for everyone!
  - Theorists (why do we get scaling laws)
  - Practitioners (lets use scaling laws to optimize)
  - AI enthusiasts (can we get AGI with more gpus?)
- ❑ Many issues left to explore!
  - Bias, toxicity, and fairness
  - Other capabilities such as reasoning, planning, knowledge base ..
  - Grounding on robotics, vision, etc.

